

Certification under 37 CFR 1.8(a)

hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to THE COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on July 18, 2006.

Brian W. Hameder (Reg. No. 45,613)

Name

Signature

DOCKET: CU-4424

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Rolf BERGE

Serial No.: 10/550,129

**Group Art Unit:** 

Filed: September 21, 2005

Examiner:

For: COMPOSITION COMPRISING PLANT AND/OR FISH OILS AND COMPOUNDS

COMPRISING NON-OXIDIZABLE FATTY ACID ENTITIES

THE COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, VA 22313-1450

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Date: July 18, 2006

Signature of Attorney

Brian W. Hameder Ladas & Parry LLP

224 South Michigan Avenue

Chicago Illinois 60604 Tel. No. (312) 427-1300

Reg. No. 45613



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#### INFORMATION DISCLOSURE STATEMENT

Applicants submit herewith patents, publications or other information of which the applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise. Also, if the present application was filed after June 30, 2003, copies of US patents or published applications are not submitted in accordance with the USPTO Rule changes.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.

Additional comments, if any, on the relevance of each reference listed are provided as follows:

∧ Also submitted herein is a copy of the PCT Search Report which satisfies
the requirement for a translation or concise explanation of any non-English
reference cited therein, as provided in MPEP §609 A(3).

Also submitted herein is a copy of a Norwegian Search Report which satisfies the requirement for a translation or concise explanation of any non-English reference cited therein, as provided in MPEP §609 A(3).

The Statement is made on the basis of the information:

in the attorney's file.

supplied by the inventor(s);
supplied by an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)); or

Respectfully submitted,

Date: July 18, 2006

Signature of Attorney Brian W. Hameder Ladas & Parry LLP

224 South Michigan Avenue Chicago Illinois 60604

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Form PTO-1429 (Modified)

FORM PTO-1449	ATTY, DOCKET NO.	SERIAL NO.	
	CU-4424	10/550,129	
INFORMATION DISCLOSURE	APPLICANT		
STATEMENT BY APPLICANT	Rolf BERGE		
	FILING DATE	GROUP	
(37 CFR 1.98(b))	September 21, 2005		

# **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	PATENT DOCUMENT	ISSUE/PUB DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE
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# FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINER INITIAL	DOCUMENT NUMBER	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSL YES	ATION NO
	NO 20005461	01/08/01	Norway				
	WO 99/58121	11/18/99	PCT				
	WO 99/58122	11/18/99	PCT				
	EP 1 284 139	02/19/03	EPO				
	EP 1 285 652	02/26/03	EPO				
	EP 0 843 972	11/20/96	EPO				
	WO 2004/000854	12/31/03	PCT				
	WO 03/014073	02/20/03	PCT				
	WO 97/03663	02/06/97	PCT				
	WO 01/68582	09/20/01	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)

Dallongeville, J. et al. (2001) Peroxisome Proliferator-activated Receptor Is Not Rate-limiting for the Lipoprotein-lowering Action of Fish Oil. J. Biol. Chem. 276. pp. 4634-4639.
Demoz, A. et al. (1994) Modulation of plasma and hepatic oxidative status and changes in plasma lipid profile by n-3 (EPA and DHA), n-6 (corn oil) and a 3-thia fatty acid in rats. Biochimica et Biophysica Acta. 1199. pp. 238-244.
Madsen, L. et al. (2002) Tetradecylthioacetic acid prevents high fat diet induced adiposity and insulin resistance. Journal of Lipid Research 43. pp. 742-750.

(Form PTO-1449)